

**UTAH STATE OFFICE OF EDUCATION**  
**FY 2008 Local Education Agency Application**  
**Modified August 2007 - Services for At Risk Students Section**

<b>1. DISTRICT NAME AND ADDRESS (Include Full Zip Number)</b>  	<b>Department / Division</b>  
	<b>Area Code and Telephone Number</b>  
<b>2. DATE APPLICATION SUBMITTED</b>	
<b>3. EXPENDITURE PERIOD</b> Beginning Date of Project: <u>July 1, 2007</u> Ending Date of Project: <u>September 30, 2008</u>	
<b>4. PROJECT DIRECTOR</b> Name of Person Responsible for Supervising This Project: _____ E-Mail Address: _____ Phone Number: (include area code) _____ Fax Number: (include area code) _____	
<b>5. IMMEDIATE SUPERVISOR</b> Name of Person To Whom The Project Director Reports _____	
<b>6. DESCRIPTIVE NAME OF PROGRAM</b> Local Education Agency Application Individuals With Disabilities Education Act 2004 (IDEA04) Part B	
<b>7. AMOUNT REQUESTED FOR FUNDING</b>	
<b>Fill out the Budget Information page first, and totals will automatically be inserted below</b>	
(1) Special Education Formula Flow Through	\$0
(2) Preschool Special Education Formula Flow Through	\$0
<b>8. MAINTENANCE OF FISCAL EFFORT (Extract your district's expenses from the Annual Program Report)</b> Enter the total amount of <b>both STATE (Minimum School Program) Dollars and LOCAL Dollars SPENT</b> on Special Education only. (Do not include federal expenditures.) <b>FY 2007 expenditures must MEET or EXCEED FY 2006 Figures. If FY 2007 expenditures are less than FY 2006's, attach an explanation.</b>	
District's Total Expense for School Year 2006-2007	\$0
District's Total Expense for School Year 2005-2006	\$0
<b>9. The district certifies to the best of its knowledge and belief, the data in this application is true and correct. The district agrees to comply with: (1) the Utah State Board of Education Special Education Rules; (2) the Part B Assurances Regarding Implementation of the Requirements; (3) the Certifications regarding Lobbying Debarment Suspension and Other Responsibility Matters, and Drug Free Workplace Requirements attached to this application; and (4) all other applicable State Finance Regulations as well as applicable Federal EDGAR Administrative Regulations.</b>  <div style="text-align: center;"> _____  Signature of Superintendent (not designee) </div>	
<b>NEW Mailing Address - Return Application To:</b>	<b>FOR USOE USE ONLY</b>
Sandy Cox, Financial Analyst Special Education Services Unit Utah State Office of Education P.O. Box 144200 Salt Lake City, UT 84114-4200 Phone: (801) 538-7569 Fax: (801) 538-7991 <b>Location:</b> 250 East 500 South	Date Application Received:
	Budget Reviewed By:
	Date Reviewed:
<b>Form available on USOE Home Page: <a href="http://www.usoe.k12.ut.us/sars/fiscal/08leaapp.xls">http://www.usoe.k12.ut.us/sars/fiscal/08leaapp.xls</a></b>	

## **CERTIFICATIONS REGARDING LOBBYING; DEBARMENT SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 34 CFR Part 82, "New Restrictions on Lobbying," and 34 CFR Part 85 "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

### **1. LOBBYING**

As required by Section 1352, title 31 of the U.S. Code, and implemented at 34 CFR Part 82, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 34 CFR Part 82, Sections 82.105 and 82.110, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification is included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

### **2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 CFR Part 85, for prospective participants in primary covered transactions, as defined at 34 CFR Part 85, Sections 85.105 and 85.110.

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

### **3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610—

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about—

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will—

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position and title, to: Director, Grants and Contracts Service, U.S. Department of Education 400 Maryland Avenue, S.W. (Room 3124, GSA Regional Office, Building No. 3), Washington, DC 20202-4571. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted-
- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).
- B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:  
Place of performance (Street address, city, country, state, zip code)

Check ☐ if there are workplaces on file that are not identified here.

#### DRUG-FREE WORKPLACE (GRANTEES WHO ARE INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610-

- A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and
- B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants and Contracts Service, U.S. Department of Education 400 Maryland Avenue, S.W. (Room 3124, GSA Regional Office, Building No. 3), Washington, DC 20202-4571. Notice shall include the identification number(s) of each affected grant;

As the duly authorized representative of the application, I hereby certify that the application will comply with the above certifications.

PR/AWARD NUMBER AND/OR PROJECT NAME:	<b>H173A 000092</b> <b>H027A 000059</b>	<b>IDEA Part B, Section 619 - Preschool Grants</b> <b>IDEA Part B, Section 611 -State Grants</b>
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NAME OF SCHOOL DISTRICT

PRINTED NAME OF SCHOOL DISTRICT SUPERINTENDENT

SIGNATURE OF SCHOOL DISTRICT SUPERINTENDENT

## IDEA 04

### PART B OF THE INDIVIDUALS WITH DISABILITIES EDUCATION ACT, AS AMENDED BY THE INDIVIDUALS WITH DISABILITIES EDUCATION ACT AMENDMENTS OF 2004

#### Assurances Regarding Implementation of the Requirements

For the purposes of implementing provisions of the Individuals with Disabilities Education Act Amendments of 2004, which amends the Individuals with Disabilities Education Act (the Act) the school district listed below assures that throughout the period of the grant award, all schools in the district will comply with all of the requirements of Parts A and B of the IDEA, as amended by the IDEA Amendments of 2004, including:

(1) Your district will be submitting revised special education policies and procedures by September 1, 2008 based on the final IDEA Regulations and Utah State Board of Education Special Education Rules, as well as all of the eligibility requirements of Section 612 of the Act. Once approved by the Utah State Office of Education Special Services, the policies and procedures manual will remain in effect for the standard period of time outlined in the 2004 IDEA Amendments mentioned above as required through IDEA reauthorization.

(2) A grant award document for FY08 will not be sent to the district or charter school for either Part B, section 611 (school age) or section 619 (preschool-ages 3-5) until receipt and approval of either the FY08 LEA Application or the No Child Left Behind Consolidated Grant Application.

(3) The State has adopted the National Instructional Materials Accessibility Standard for the purpose of providing instructional materials to blind or other persons with print disabilities in a timely manner after the publication of the National Instructional Materials Accessibility Standard in the Federal Register in accordance with 20 U.S.C. 1412 (a)(23)(A) and (D); 34 CFR S300.172. The LEA has the choice to either coordinate and "opt in" or "opt out" with NIMAS/NIMAC. This declaration is a requirement for the LEA in order to be eligible to receive IDEA04 funds. Whether or not an LEA participates in NIMAS/NIMAC, the LEA will be responsible for ensuring that each student who requires instructional materials in an alternate format will receive it in a "timely manner". { } **Our district or Charter School will coordinate and "opt in to the NIMAS/NIMAC. { } Our district or charter school will "opt out" of NIMAS/NIMAC.**

**LIMITATION OF USE AGREEMENT:** The LEA agrees to convert or have converted NIMAS file sets for the exclusive purpose of producing accessible instructional materials for blind or other persons with print disabilities in elementary and secondary schools.

*(4) Expenditures cannot be charged to these FY08 funds until your district receives the USOE Grant Award*

**Document**

\_\_\_\_\_  
Name of School District

\_\_\_\_\_  
Types Name of School District Superintendent

\_\_\_\_\_  
Signature of Superintendent (NOT DESIGNEE)

\_\_\_\_\_  
Date Signed

## BUDGET INFORMATION

<b>ENTER DISTRICT NAME:</b>		<b>NAME OF PROGRAM</b>	<b>INDIVIDUALS WITH DISABILITIES EDUCATION ACT (IDEA04)</b>
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**READ THIS BEFORE YOU BEGIN:** Where you see a "\$0" already in the columns below, a formula has been inserted. **PLEASE DO NOT HAND ENTER ANY INFORMATION IN ITS PLACE, IT WILL DELETE THE FORMULA.**

<b>Budget Categories (Object Codes)</b>  For detailed information on Object Code Definitions, an expanded version can be found in USOE's School Finance & Statistics Workshop Binder, under Chart of Accounts. This binder is provided to District Business Administrators for each new fiscal year.		FEDERAL IDEA04-INDIVIDUALS with DISABILITIES EDUCATION ACT		
		I.D.E.A., Part B (Section 611) Special Education <b>Formula Flow Through</b>	I.D.E.A., Part B (Section 611) Special Education <b>Optional Use of 15% of Flow Through for Early Interventions</b>	I.D.E.A., Part B (Section 619) Special Education <b>Preschool Formula Flow Through</b>
		USOE File #: 8C-19-6	USOE File #: 8E-19-6	USOE File#: 8C-52-6
A.	Salaries (100)			
B.	Employee Benefits (200)			
C.	Purchased Professional and Technical Services (300)			
D.	Purchased Property Services (400)			
E.	Other Purchased Services (excluding travel and construction services) (500)			
F.	Travel (580)			
G.	Supplies and Materials (600)			
H.	Other (exclude indirect costs, audit costs, and property) (800)			
I.	<b>Total Direct Costs - Sum of Lines A through H</b>	\$0	\$0	\$0
J.	Other - Audit Costs (800) <span style="color: red;">Do Not Apply Indirect Cost to This Object Code</span>			
K.	* Indirect Cost (Restricted) (870)  <span style="color: red;">Enter Your Agency's Current Fiscal Year Indirect Cost RATE Here:</span>	<b>Once you have entered your district's Indirect Cost Rate, the Excel formula to calculate the amount has been inserted in the row below. If you are not asking for Indirect Costs, simply do not enter the rate.</b>		
		\$0.00	\$0.00	\$0.00
L.	Property (includes equipment & computer hardware) (700) <span style="color: red;">Indirect Costs Do Not Apply to This Object Code</span>			
	<b>** TOTALS of Lines 'I' through 'L'</b> (Each total must equal the amount available to your district)	\$0	\$0	\$0

\* **To Manually Figure District's Direct Cost and Indirect Cost Amounts:** Take the total amount for your district and subtract amount(s) in line J. and L. Divide the result by your district's indirect cost rate, this will give you the direct cost amount. Subtract the direct cost amount from the total available which will equal the indirect cost amount. NOTE: If your district's Indirect Cost Rate is .94, convert it to 1.0094. EXAMPLE: \$1,000 minus \$150 (Line J) and minus \$200 (Line L) = \$650 divided by 1.0094 = \$644 (total direct costs). \$1,000 minus \$644 = \$356 (indirect cost amount).

\*\* **PLEASE NOTE:** The Indirect Cost (Line K) is figured on the DIRECT COST AMOUNT (Line I), - NOT the total amount available to your district.